

Transmittal Letter To The United States Designated/Elected Office (DO/EO/US) Concerning A Filing Under 35 U.S.C. 371		Attorney Docket No.: 27517U U.S. Application No.: Not Yet Assigned 10/588997
International Application No.: PCT/EP2005/050667	International Filing Date: FEBRUARY 16, 2005	Priority Date Claimed: February 17, 2004 June 9, 2004 December 21, 2004
Title of Invention: TRICYCLIC IMIDAZOPYRIDINES AND INTERMEDIATES FOR THE SYNTHESIS THEREOF		
Applicants for DO/EO/US: CHIESA, M. Vittoria		
Applicant hereby submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<p>1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.</p> <p>2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.</p> <p>3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 USC 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.</p> <p>4. <input checked="" type="checkbox"/> The US has been elected (Article 31).</p> <p>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)):</p> <ul style="list-style-type: none"> a. <input checked="" type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau). b. <input type="checkbox"/> has been communicated by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US) <p>6. <input type="checkbox"/> A English translation of the International Application as filed (35 U.S.C. 371(c)(2)).</p> <p>7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) <ul style="list-style-type: none"> a. <input type="checkbox"/> are attached hereto (required only if not transmitted by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input checked="" type="checkbox"/> have not been made and will not be made. </p> <p>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</p> <p>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</p> <p>10. <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</p>		
ITEMS 11 to 20 BELOW CONCERN OTHER DOCUMENT(S) OR INFORMATION INCLUDED:		
<p>11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</p> <p>12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</p> <p>13. <input checked="" type="checkbox"/> A FIRST preliminary amendment.</p> <p>14. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment</p> <p>15. <input type="checkbox"/> A substitute specification.</p> <p>16. <input type="checkbox"/> A change of power of attorney and/or address letter.</p> <p>17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter2 and 35 USC 1821 - 1825</p> <p>18. <input type="checkbox"/> A second copy of the published international application under 35 USC 154(d)(4)</p> <p>19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 USC 154(d)(4)</p> <p>20. <input checked="" type="checkbox"/> Other items or information: TRANSMITTAL LETTER; APPLICATION DATA SHEET; INTERNATIONAL PUBLICATION NUMBER: WO 2005/077949 A1, WITH THE PCT/ISA/210 (INTERNATIONAL SEARCH REPORT); INTERNATIONAL PUBLICATION DATE: AUGUST 25, 2005, WHICH CONSISTS OF 37 PAGES: 1 PAGE FOR THE COVER SHEET, WITH ABSTRACT, 30 PAGES OF TEXTUAL SPECIFICATION, 6 PAGES OF 11 CLAIMS, AND 0 SHEETS OF DRAWINGS; PRELIMINARY AMENDMENT WITH APPENDICES A, B, AND C, TO BE EXAMINED; EXECUTED DECLARATION AND POWER OF ATTORNEY; PCT/ISA/237 (WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY); PCT/IB/304 (NOTIFICATION CONCERNING SUBMISSION OR TRANSMITTAL OF PRIORITY DOCUMENT); PCT/IB/308 (FIRST NOTICE INFORMING THE APPLICANT OF THE COMMUNICATION OF THE INTERNATIONAL APPLICATION); PCT/RO/101 (REQUEST); POSTCARD FOR THE EARLY NOTIFICATION OF THE SERIAL NUMBER REQUEST.</p>		

U.S. Application No.: Not Yet Assigned 07/588997		International Application: PCT/EP2005/050667		Attorney Docket No.: 27517U	
The following fees are submitted:				<u>CALCULATION</u>	<u>PTO USE ONLY</u>
21. X Basic National Fee (37 CFR 1.492(a))..... \$ 300.00				\$ 300.00	
22. X Examination Fee (37 CFR 1.492 (c)) If the written opinion prepared by ISA/US or the International Preliminary Examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4) \$ 0.00 All other situations \$ 200.00				\$ 200.00	
23. X Search Fee (37 CFR 1.492(b)) If the written opinion of the ISA/US or the International Preliminary Examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4) \$ 0.00 Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority \$ 100.00 International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB \$ 400.00 All other situations \$ 500.00				\$ 400.00	
Total of 21, 22 and 23				\$ 900.00	
– Additional fee for specification and drawings filed in paper over 100 sheets (excluding Sequence listing or computer program listing filed in an electronic medium). The fee is \$.250.00 for each additional 50 sheets of paper or fraction thereof.					
Total Sheets	Extra Sheets	# of each additional 50	RATE		
55-100 =	/50 =	X \$250			
– Surcharge of \$130.00 for furnishing the oath or declaration later than 20 — 30 months from the earliest claimed priority date (37 CFR 1.492(e)).					
CLAIMS	# FILED	# EXTRA	RATE		
TOTAL	15-20=	0	X \$50		
INDEPENDENT	4-3=	1	X \$200	\$ 200.00	
Multiple dependent claims (if applicable)				+ \$360	
TOTAL OF ABOVE CALCULATIONS =				\$ 1,100.00	
– Applicant claims small entity status. Fees above are reduced by ½.					
SUBTOTAL =				\$ 1,100.00	
– Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).					
TOTAL NATIONAL FEE =				\$ 1,100.00	
– Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +					
TOTAL FEES ENCLOSED =				\$ 1,100.00	
				Amount to be: refunded	\$
				Amount to be: charged	\$

U.S. Application No.: 10758897 Not Yet Assigned	International Application: PCT/EP2005/050667	Attorney Docket No.: 27517U
<p>a. <input checked="" type="checkbox"/> A check in the amount of \$ 1,100.00 to cover the above fees is enclosed.</p> <p>b. <input type="checkbox"/> Please charge my Deposit Account Number: 14-0112 in the amount of \$ _____ to cover the above fees. (A duplicate copy of this sheet is enclosed.)</p> <p>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees, which may be required, or credit any overpayment to Deposit Account Number: 14-0112.</p> <p>d. <input type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit Card Information should not be included on this form. Provide credit card information and authorization on PTO-2038</p> <p>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed to request that the application be restored to pending status.</p> <p>Send All Correspondence To:</p> <p>Gary M. Nath NATH & ASSOCIATES PLLC 112 South West Street Alexandria, VA 22314 TEL: (703) 548-6284 FAX: (703) 683-8396</p> <p>Date: <u>August 10, 2006</u> By:  Gary M. Nath, Reg. No. 26,965 Sheldon M. McGee, Reg. No. 50,454 Customer No. 34375</p>		

le

10/588997

IAP11 Rec'd PCT/PTO 10 AUG 2006

MAIL STOP - PCT
Attorney Docket No.: 27517U

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

CHIESA, M. Vittoria

Application No.: Not Yet Assigned

Filed: August 10, 2006

Intl. Application No.: PCT/EP2005/050667

Intl. Filing Date: February 16, 2005

Title: TRICYCLIC IMIDAZOPYRIDINES AND INTERMEDIATES FOR THE SYNTHESIS THEREOF

TRANSMITTAL LETTER

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

Submitted herewith for filing in the U.S. Patent and Trademark Office is the following:

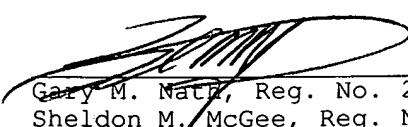
- 1) Application Data Sheet;
- 2) Transmittal Letter to U.S. Designated/Elected Office (DO/EO/US) Concerning Filing Under 35 U.S.C. 371;
- 3) International Publication Number: WO 2005/077949 A1, with the PCT/ISA/210 (International Search Report); International Publication Date: August 25, 2005, which consists of 37 pages:
 - 1 page for the Cover Sheet, with Abstract,
 - 30 pages of Textual Specification,
 - 6 pages of 11 Claims, and
 - 0 sheets of Drawings;
- 4) **Preliminary Amendment with Appendices A, B, and C, to be Examined;**
- 5) Executed Declaration and Power of Attorney;
- 6) PCT/ISA/237 (Written Opinion of the International Searching Authority);
- 7) PCT/IB/304 (Notification Concerning Submission or Transmittal of Priority Document);
- 8) PCT/IB/308 (First Notice Informing the Applicant of the Communication of the International Application);
- 9) PCT/RO/101 (Request);
- 10) Check No.: 2620 in the Amount of \$ 1,100.00 for Basic Filing Fee, which includes the Search and Examination Fees (\$900), and for 1 Independent Claim over 3 (\$200), as a **Large Entity**;
- 11) Postcard for Early Notification of the Serial Number Request.

The Commissioner is hereby authorized to charge any deficiency or credit any excess to Deposit Account No. 14-0112.

Respectfully submitted,
NATH & ASSOCIATES PLLC

Date: August 10, 2006

By:


Gary M. Nath, Reg. No. 26,965
Sheldon M. McGee, Reg. No. 50,454
Customer No. 34375